

Exercised We derive the simple harmonic oscillator algebra:

This note is a bit disorganized. sorry.

$$[a, a^\dagger] = 1, |n\rangle = \frac{(a^\dagger)^n}{\sqrt{n!}} |0\rangle, a|n\rangle = \sqrt{n} |n-1\rangle,$$

$$a^\dagger |n-1\rangle = \sqrt{n} |n\rangle.$$

To prove the first commutator, we use the definition from Griffiths Eq. 2.48:

$$a = \frac{1}{\sqrt{2m\hbar\omega}} (m\omega x + ip), \quad a^\dagger = \frac{1}{\sqrt{2m\hbar\omega}} (m\omega x - ip)$$

We apply these to a test function

$$[a, a^\dagger]f = \frac{1}{2m\hbar\omega} (a a^\dagger f - a^\dagger a f) =$$

$$\frac{1}{2m\hbar\omega} \left[ (m\omega x)^2 - im\omega (xp - px) + p^2 \right] f -$$

$$\left[ (m\omega x)^2 + im\omega (xp - px) + p^2 \right] f$$

$$= -\frac{im\omega}{m\hbar\omega} [x, p]f = -\frac{i}{\hbar} [x \cdot (-i\hbar f') - (-i\hbar f - i\hbar x f')]$$

$$= \frac{-i^2 \hbar}{\hbar} f = f. \text{ Thus, we have shown } [a, a^\dagger] = 1. \quad \square$$


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Next, we wish to show  $a^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle$ ,  $a |n\rangle = \sqrt{n} |n-1\rangle$ .

First, we must show that  $a$  and  $a^\dagger$  are Hermitian conjugates of one another:

$$\langle f | a^\dagger g \rangle = \langle a f | g \rangle, \text{ and } \langle f | a g \rangle = \langle a^\dagger f | g \rangle.$$

Expanding the definitions of  $a^\dagger$  and  $a$  fully, we have

$$a^\dagger = \frac{1}{\sqrt{2m\hbar\omega}} (m\omega x - ip) = \frac{1}{\sqrt{2m\hbar\omega}} (m\omega x - \hbar \frac{d}{dx})$$

$$a = \frac{1}{\sqrt{2m\hbar\omega}} (m\omega x + ip) = \frac{1}{\sqrt{2m\hbar\omega}} (m\omega x + \hbar \frac{d}{dx})$$

Placing both in an inner product, we have

$$\int_{-\infty}^{\infty} f^* \frac{1}{\sqrt{2m\hbar\omega}} (m\omega x + \hbar \frac{d}{dx}) g dx =$$

$\infty$

$$\int_{-\infty}^{\infty} \frac{1}{\sqrt{2m\hbar\omega}} \left( f^* m\omega x g + f^* \hbar \frac{dg}{dx} \right) dx =$$

$$\int_{-\infty}^{\infty} \frac{1}{\sqrt{2m\hbar\omega}} \left( m\omega x f^* g + \hbar \frac{df^*}{dx} g \right) dx =$$

$$\int_{-\infty}^{\infty} \frac{1}{\sqrt{2m\hbar\omega}} \left( m\omega x \pm \hbar \frac{d}{dx} \right) f^* g dx.$$

We have thus simultaneously shown that  $\langle f | a^\dagger g \rangle = \langle a f | g \rangle$  and  $\langle f | a g \rangle = \langle a^\dagger f | g \rangle$ , so  $a$  and  $a^\dagger$  are indeed Hermitian conjugates.  $\square$

Next, we must show that the raising operators map from one eigenstate of the SHO to another.

Consider that

$$aa^\dagger = \frac{1}{2m\hbar\omega} \left( (m\omega x)^2 - im\omega (xp - px) + p^2 \right)$$

$$= \frac{1}{2m\hbar\omega} \left( (m\omega x)^2 + m\omega k + p^2 \right) \Rightarrow \hat{H} = \hbar\omega \left( aa^\dagger - \frac{1}{2} \right),$$

since the Hamiltonian is simply  $\hat{H} = \frac{1}{2}m\omega^2 x^2 + p^2/2m$

Consider an eigenstate  $|E\rangle$  with energy  $E$ . Then

$$\hat{H}|E\rangle = E|E\rangle \Rightarrow a^\dagger \hat{H}|E\rangle = a^\dagger E|E\rangle = E a^\dagger |E\rangle =$$

$$[a^\dagger, \hat{H}]|E\rangle + \hat{H} a^\dagger |E\rangle = \hbar\omega (a [a^\dagger, a^\dagger] + [a^\dagger, a] a^\dagger -$$

$$[a^\dagger, \frac{1}{2}])|E\rangle + \hat{H} a^\dagger |E\rangle = -\hbar\omega a^\dagger |E\rangle + \hat{H} a^\dagger |E\rangle \Leftrightarrow$$

$$\hat{H} a^\dagger |E\rangle = (E + \hbar\omega) a^\dagger |E\rangle, \text{ which shows that } a^\dagger |E\rangle \text{ is also an eigenvector}$$

of  $\hat{H}$ . It follows that successively applying the raising operator to the ground state will get us to a ladder of eigenstates

$$|0\rangle \rightarrow A_1 |1\rangle = a^\dagger |0\rangle \rightarrow A_2 |2\rangle = (a^\dagger)^2 |0\rangle \rightarrow \dots$$

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We now introduce the number operator. We remark that

$$a^\dagger a = \frac{1}{2m\hbar\omega} (m\omega x - ip)(m\omega x + ip) =$$

$$\frac{1}{2m\hbar\omega} \left( (m\omega x)^2 + im\omega(xp - px) + p^2 \right) \Rightarrow \hat{H} = \hbar\omega (a^\dagger a + \frac{1}{2})$$

$\equiv \hbar\omega (\hat{N} + \frac{1}{2})$ , where  $\hat{N}$  is the number operator. We

will need the spectrum of the number operator. We just showed that

$$\hat{H}(a^\dagger)^n |0\rangle = (E_0 + n\hbar\omega)(a^\dagger)^n |0\rangle,$$

So let us now determine the ground state energy of the SHO.

We remark that, just as  $a^\dagger$  raises the energy of a state by  $\hbar\omega$ ,  $a$  lowers the energy of a state by  $\hbar\omega$ .

$$\hat{H}|E\rangle = E|E\rangle = a\hat{H}|E\rangle = E a|E\rangle = [a, \hat{H}]|E\rangle + \hat{H}a|E\rangle$$

$$= \hbar\omega [a, a^\dagger]a|E\rangle + \hat{H}a|E\rangle = \hbar\omega a|E\rangle + \hat{H}a|E\rangle \Leftrightarrow$$

$$\hat{H}a|E\rangle = (E - \hbar\omega)a|E\rangle.$$

We argue that there must exist a state  $|0\rangle$  such that  $a|0\rangle = 0$ . If we continue to lower the energy, at some point, we will reach a state with  $E \leq V_{\min} \Rightarrow$

$$-\frac{\hbar^2}{2m} \psi'' = (E - V(x))\psi \Leftrightarrow \frac{\hbar^2}{2m} \psi'' = K(x)^2 \psi, \text{ where}$$

$K(x)^2 > 0 \quad \forall x$ . This function will either be concave up or concave down at all points, and thus cannot be normalizable, and thus must not be a physical state.

We now solve  $\langle x | a | 0 \rangle = 0 \Rightarrow (m\omega x + \hbar \frac{d}{dx})\Psi \Rightarrow$

$$\Psi' = -\frac{m\omega}{\hbar} x \Psi \Rightarrow \ln \Psi = (-m\omega x^2 / 2\hbar) \Rightarrow$$

$$\Psi = A e^{-m\omega x^2 / 2\hbar}, \text{ and normalization requires } A = \left(\frac{\pi\hbar}{m\omega}\right)^{1/4}$$

$\Rightarrow \Psi = \left(\frac{\pi\hbar}{m\omega}\right)^{1/4} e^{-m\omega x^2 / 2\hbar}$ . We can find the energy of

this state using the Schrödinger equation

$$-\frac{\hbar^2}{2m} \left(\frac{\pi\hbar}{m\omega}\right)^{1/4} \frac{d}{dx} \left( e^{-m\omega x^2 / 2\hbar} \cdot \frac{-m\omega x}{\hbar} \right) + \frac{1}{2} m\omega^2 x^2 \Psi$$

$$= -\frac{\hbar^2}{2m} \left(\frac{\pi\hbar}{m\omega}\right)^{1/4} \left( e^{-m\omega x^2 / 2\hbar} \cdot \left(\frac{-m\omega x}{\hbar}\right)^2 - \frac{m\omega}{\hbar} e^{-m\omega x^2 / 2\hbar} \right)$$

$$+ \frac{1}{2} m\omega^2 x^2 \Psi = -\frac{\hbar^2}{2m} \Psi \left( \frac{-m\omega}{\hbar} + \left(\frac{m\omega}{\hbar}\right)^2 x^2 \right) + \frac{1}{2} m\omega^2 x^2 \Psi$$

$$= \frac{\hbar\omega}{2} \Psi = E_0 \Psi \Leftrightarrow \boxed{E_0 = \frac{\hbar\omega}{2}}$$

It follows that the SHO spectrum is given by

$$\boxed{E_n = \hbar\omega \left(n + \frac{1}{2}\right)}$$

We now easily determine the spectrum of the number operator:

$$\hat{H}|n\rangle = \hbar\omega(n + \frac{1}{2})|n\rangle = \hbar\omega(\hat{N} + \frac{1}{2})|n\rangle \implies$$

$\hat{N}|n\rangle = n|n\rangle$ . We can also see that the eigenvectors of  $\hat{N}$  are the same as those of  $\hat{H}$ .

We remark that  $a\hat{N}|n\rangle = na|n\rangle = a a^\dagger a|n\rangle$   
 $= [a, a^\dagger]a|n\rangle + a^\dagger a a|n\rangle = a|n\rangle + \hat{N}a|n\rangle \iff$

$$\hat{N}a|n\rangle = (n-1)a|n\rangle,$$

and

$$a^\dagger \hat{N}|n\rangle = na^\dagger|n\rangle = a^\dagger a^\dagger a|n\rangle = a^\dagger [a^\dagger, a]|n\rangle +$$

$$a^\dagger a a^\dagger|n\rangle = -a^\dagger|n\rangle + \hat{N}a^\dagger|n\rangle \iff$$

$$\hat{N}a^\dagger|n\rangle = (n+1)a^\dagger|n\rangle.$$

We also argued above that  $a^\dagger|n\rangle \propto |n+1\rangle$ ,  $a|n\rangle \propto |n-1\rangle$ .

With these pieces, we can **finally** finish deriving the SHO algebra.

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Suppose  $a^\dagger |n\rangle = c |n+1\rangle$ . Then (using the fact that  $a = (a^\dagger)^\dagger$ ),

$$\langle n | a a^\dagger |n\rangle = \langle n+1 | c^* c |n+1\rangle \implies$$

$$\langle n | [a, a^\dagger] + a^\dagger a |n\rangle = |c|^2 = \langle n | n \rangle + \langle n | \hat{N} |n\rangle$$

$$= n+1 \implies c = \sqrt{n+1} \quad (\text{where the lack of complex phase is a convention}).$$

Similarly,

$$a |n\rangle \equiv d |n-1\rangle \implies \langle n | a^\dagger a |n\rangle = \langle n-1 | d^* d |n-1\rangle$$

$$\implies n = |d|^2 \implies d = \sqrt{n}, \quad \text{so we arrive at}$$

two more equations for our algebra

$$\boxed{\begin{aligned} a^\dagger |n\rangle &= \sqrt{n+1} |n+1\rangle \\ a |n\rangle &= \sqrt{n} |n-1\rangle \end{aligned}}$$

Finally, if we start from our ground state, we get

$$|0\rangle \mapsto a^\dagger |0\rangle = |1\rangle \mapsto a^\dagger |1\rangle = \sqrt{2} |2\rangle \mapsto a^\dagger |2\rangle =$$



$$\sqrt{3} |3\rangle \Rightarrow |3\rangle = \frac{1}{\sqrt{3}} a^+ |2\rangle = \frac{1}{\sqrt{3}} \cdot \frac{1}{\sqrt{2}} (a^+)^2 |1\rangle$$

$$= \frac{1}{\sqrt{3!}} (a^+)^3 |0\rangle \mapsto a^+ |3\rangle = \sqrt{4} |4\rangle \Rightarrow$$

$$|4\rangle = \frac{1}{\sqrt{4}} a^+ |3\rangle = \frac{1}{\sqrt{4!}} (a^+)^4 |0\rangle \mapsto \dots$$

Suppose  $|n\rangle = \frac{(a^+)^n}{\sqrt{n!}} |0\rangle$ . Then,

$$a^+ |n\rangle = \sqrt{n+1} |n+1\rangle \Rightarrow |n+1\rangle = \frac{1}{\sqrt{n+1}} a^+ |n\rangle$$

$$= \frac{(a^+)^{n+1}}{\sqrt{(n+1)!}} |0\rangle,$$

and so we have shown by induction that

$$|n\rangle = \frac{(a^+)^n}{\sqrt{n!}} |0\rangle$$



Just go read Griffiths!